# Universities' 2<sup>nd</sup> and 3<sup>rd</sup> Tasks Science & Regional Development

basic prerequisites of academic environment for internationalization and sustainable growth

Event: International Online Forum "Global in the Regional: Kazakhstan in the Bologna Process and EU Projects"

Date: October 20-21, 2020

Presented by: Dr. Anatoli Beifert

#### Universities' main tasks

- 1. Education and training
- 2. Science, research and development
- 3. Innovation and regional development

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### Global University Ranking

Rank	University	Country
1	University of Oxford	UK
2	California Institute of Technology	US
3	University of Cambridge	UK
4	Stanford University	US
5	Massachusetts Institute of Technology	US
6	Princeton University	US
7	Harvard University	US
8	Yale University	US
9	The University of Chicago	US
10	Imperial College London	UK
•••		
32	LMU Munich	DE
41	Technical University of Munich	DE
42	Heidelberg University Heidelburg	DE
75	Charité - Universitätsmedizin Berlin	DE
78	University of Tübingen	DE
80	Humboldt University of Berlin	DE
83	University of Freiburg	DE

Source: The World University Rankings, <a href="https://www.timeshighereducation.com">https://www.timeshighereducation.com</a> (access: October 2020)

## Global Competitiveness Index 2019

		Diff. from 2018 <sup>2</sup>		
Economy	Score <sup>1</sup>	Rank	Score	
Singapore	84.8	+1	+1.3	
United States	83.7	-1	-2.0	
Hong Kong SAR	83.1	+4	+0.9	
Netherlands	82.4	+2	_	
Switzerland	82.3	-1	-0.3	
Japan	82.3	-1	-0.2	
Germany	81.8	-4	-1.0	
Sweden	81.2	+1	-0.4	
United Kingdom	81.2	-1	-0.8	
Denmark	81.2	_	+0.6	
Finland	80.2	_	_	
Taiwan, China	80.2	+1	+1.0	
Korea, Rep.	79.6	+2	+0.8	
Canada	79.6	-2	-0.3	
France	78.8	+2	+0.8	
Australia	78.7	-2	-0.1	
Norway	78.1	-1	-0.1	
Luxembourg	77.0	+1	+0.4	
New Zealand	76.7	-1	-0.8	
Israel	76.7	_	+0.1	
	Singapore United States Hong Kong SAR Netherlands Switzerland Japan Germany Sweden United Kingdom Denmark Finland Taiwan, China Korea, Rep. Canada France Australia Norway Luxembourg New Zealand	Singapore       84.8         United States       83.7         Hong Kong SAR       83.1         Netherlands       82.4         Switzerland       82.3         Japan       82.3         Germany       81.8         Sweden       81.2         United Kingdom       81.2         Denmark       81.2         Finland       80.2         Korea, Rep.       79.6         Canada       79.6         France       78.8         Australia       78.7         Norway       78.1         Luxembourg       77.0         New Zealand       76.7	Economy         Score¹         Rank           Singapore         84.8         +1           United States         83.7         -1           Hong Kong SAR         83.1         +4           Netherlands         82.4         +2           Switzerland         82.3         -1           Japan         82.3         -1           Germany         81.8         -4           Sweden         81.2         +1           United Kingdom         81.2         -1           Denmark         81.2         -           Finland         80.2         -           Taiwan, China         80.2         +1           Korea, Rep.         79.6         +2           Canada         79.6         -2           France         78.8         +2           Australia         78.7         -2           Norway         78.1         -1           Luxembourg         77.0         +1           New Zealand         76.7         -1	

## Germany (ranking summary)

#### High ranking

•	Macro economic stability	1. rank
•	Innovation capability	1. rank
•	Skills	5. rank
•	Business dynamics	5. rank
•	Market size	5. rank
•	Infrastructure	8. rank

#### Low ranking

•	ICT adoption	36. rank
•	Health care	31. rank
•	Financial system	25. rank
•	Institutions	18. rank

Performance Overview Key ♦ Previous edition △ High-income group average □ Europe and North America average 2019



Source: WEF - The Global Competitiveness Report 2019

# Global Competitiveness Index 2019 (Germany) Innovation capability, R&D

Index Component	Value	Score *	Rank/141	Best Performer
12th pillar: Innovation capability 0-100	-	86.8 ↓	1	Germany
Interaction and diversity 0-100	-	77.6 ↓	4	Singapore
12.01 Diversity of workforce 1–7 (best)	5.3	71.5 ↓	16	Singapore
12.02 State of cluster development 1-7 (best)	5.4	73.5 ↓	4	Italy
12.03 International co-inventions per million pop.	21.40	95.4 ↑	11	Multiple (5)
12.04 Multi-stakeholder collaboration 1-7 (best)	5.2	70.0 ↓	7	Israel
Research and development 0-100	-	99.5 ↑	2	Japan
12.05 Scientific publications score	1,131.0	100.0 =	3	Multiple (9)
12.06 Patent applications per million pop.	292.10	100.0 =	5	Multiple (8)
12.07 R&D expenditures % GDP	2.9	98.0 ↑	8	Multiple (7)
12.08 Research institutions prominence 0-100 (best)	0.80	100.0 =	4	Multiple (7)

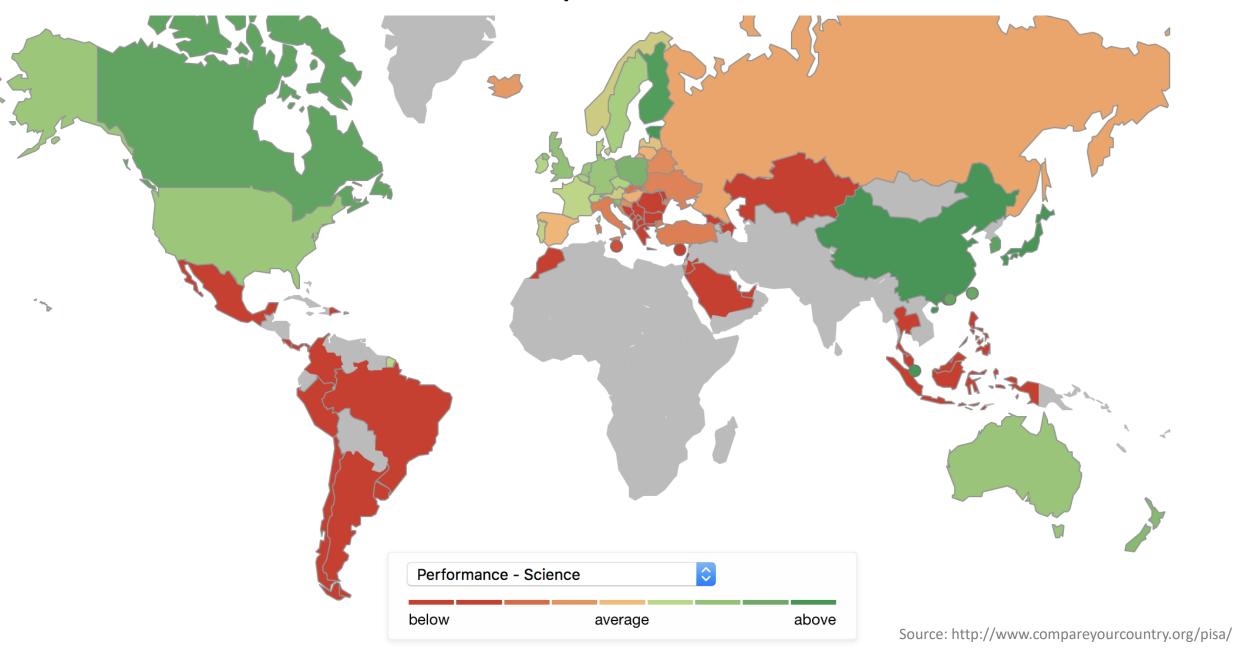
Source: WEF - The Global Competitiveness Report 2019

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## Pisa Study 2018 - Science



#### General HE environment in Germany

- PISA-Study "only" average
- German investments into education are below PISA average
  - DE: 4,4 % BIP
  - FR: 6,1 % BIP (PISA average)
  - USA: 7,2 % BIP

- No elite system of HE in Germany
  - But(!!!) e.g. US, UK, FR, etc. support higher education elite system

### Academic Institutional System in Germany

- Universities (ca. 240)
  - Full spectrum of faculties, focus on fundamental sciences
  - Universal academic task, focus on education and science
  - PhD, Master, Bachelor
- Universities of Applied Sciences (ca. 160)
  - Engineering, Business, Social Sciences
  - Focus on innovation and business (professional) orientation
  - Technology transfer & regional development
  - Special research programmes together with business
  - Master, Bachelor, PhD programme possible, but normally in cooperation with Universities
- Research and Development Institutes
  - Large scale or special purpose research and research service providing organisations

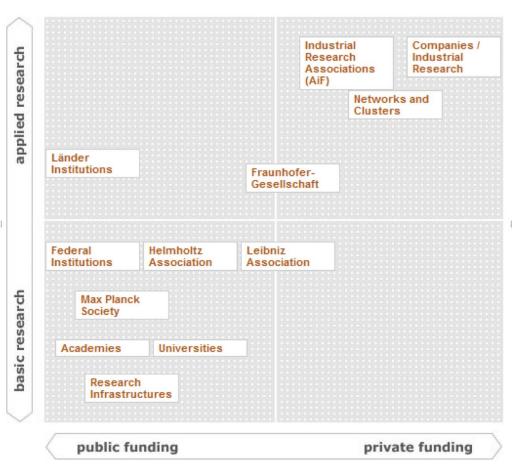
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#### Science vs. Technology

- Science (fundamental research)
  - aims at totally new knowledge
  - result is unknown and highly unpredictable
  - high risks for investments
  - mainly public investments
- Technology
  - aims at correct/efficient implementation of already known knowledge
  - result is very known and predictable
  - attractive for business and private sector

#### Research performing institutions in Germany



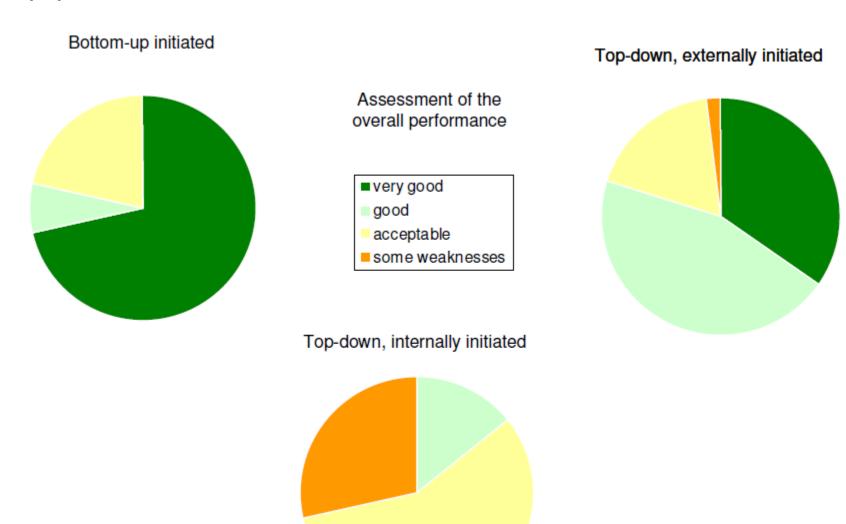
#### Innovation and Applied Science

- Innovation (applied science) projects have components of:
  - Totally unknown, new and already known knowledge
  - Different support systems, but generally:
    - the higher the share of the unknown, the greater the public involvement
    - the closer a project is to technology, the greater of private or business participation

#### Venture capital

- intermediate stage between science and technology
- takes on the risks of the unknown and expecting high future profits/ROI

#### Applied Research & Cluster Performance



## Examples from Germany – Universities as a regional powerhouse

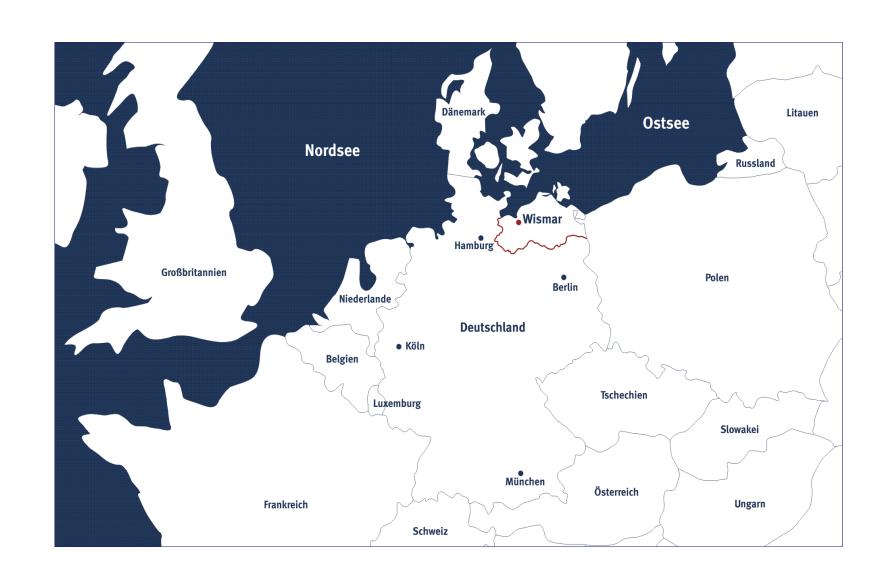
- (§) Freedom of science, research and teaching
  - Article 5 of the Basic Law for the Federal Republic of Germany
- Contribution to regional development and innovation transfer
  - special focus on competence networks
  - Curriculum Development with special focus on regional needs and demographics
  - smart specialisation
- European Project Center
  - EU Project Application & Management for the region
- Special programs at universities
  - entrepreneurship development and training
  - start-up consultations
  - patent consultations
  - business idea development & screening

#### Case: Wismar and Nord-West Mecklenburg

- founded in 1229
- traditional hanseatic town
- ca. 55.000 inhabitants
- SE-colony till 1803
- since 2002 on the UNESCO-list of world heritage
- 1990 2000 transition economy

#### **Main Industries**

- Shipbuilding
- Wood / timber processing
- University Public Cooperation
- Seaport / Logistics
- Renewable energy
- Biosensors
- Food industry
- Innovation



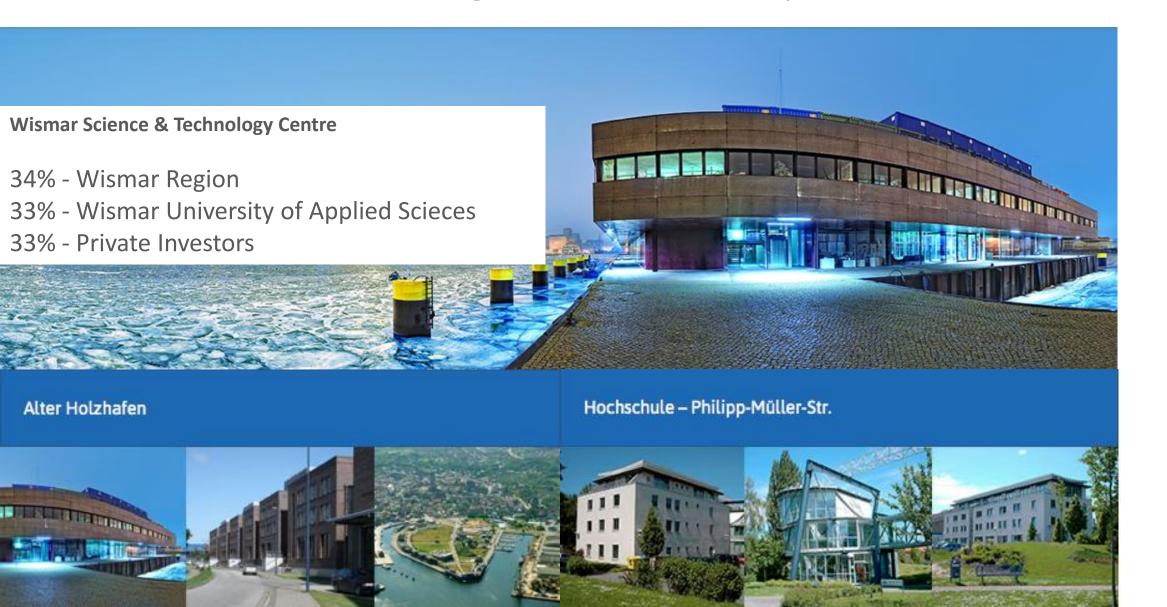
#### Case Wismar: Regional Development



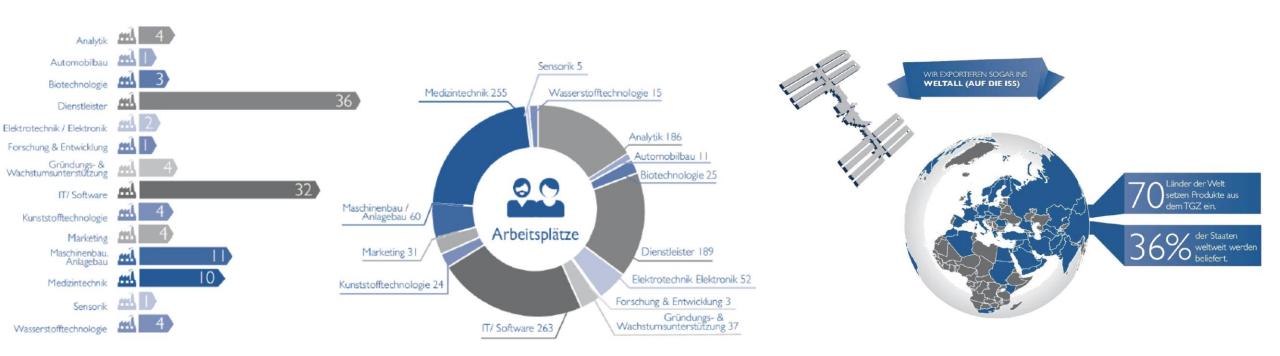
Europe' one of the biggest shipbuilding yards (left-blue circle)

Europe's biggest wood processing cluster (right-red circle)

#### Case Wismar – Regional Development & Innovation



#### TGZ Wismar (Science and Technology Centre Wismar)



#### Recommendations (1)

- Stop waiting for practical or planned results from fundamental science
- Fundamental science should be funded and supported by the state
  - no enforcement or punishments of scientists
  - stable and optimal conditions and motivations for scientific activities
  - the only expected results of public funding will be scientific publications and a smooth, slow growth in the quality of scientists and their works
  - administrative staff (internally and externally) shall support and not challenge scientists
- Mechanisms for allocating and distributing funds are known:
  - grants and the most transparent/honest expertise

#### Recommendations (2)

- Applied research and innovation may be formed as a business project
  - with clear goals, clear funding and clear ownership of the results,
  - considering side factors, e.g. provision of needed infrastructure, needed capacity building, securing potential consumers of the project results
- Applied research projects with sufficient scientific component need different regulations as e.g. in government procurement
- Practical results achieved in fundamental science should be further supported by / in different quality of business projects, e.g. universities' business incubators or science parks, etc.
- Scientists involved in applied science should receive a special treatment or compensation due to inevitable losses (e.g. lack of scientific publications, tight deadlines, etc.)

**Epilogue** 

Pisa Study 2018: Equity in reading — Social Background

